JC06 Rec'd PCT/PTO 19 SEP 2005

Atty. Docket No. 2863US (203-3511PCTUS)

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S):

David C. Racenet

SERIAL NO.:

10/529,800

GROUP: Art Unit - not yet assigned

FILED:

March 30, 2005

DATED: September 16, 2005

FOR:

TOOL ASSEMBLY FOR SURGICAL STAPLING DEVICE

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to Applicant(s) duty of disclosure, the information listed in the attached form PTO/SB/08A is brought to the attention of the Examiner. Copies of the foreign references are attached hereto.

The following non-English foreign references were cited for the following reasons:

French publication no. 2681775 was cited to illustrate an endoscopic instrument having an articulatable tool assembly. See FIG. 6.

French publication no. 2,542,188 was cited to illustrate a surgical stapling device including a cartridge 19 and an anvil 20. See FIG. 1.

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)** 

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on September 16, 2005.

Dated: September 16, 2005

Christopher G. Trainor

German publication no. 4,213,426 was cited to show an endoscopic surgical instrument including a remotely controlled tool assembly.

German publication no. 4,300,307 was cited to show an endoscopic instrument having an articulating remotely controlled tool assembly.

European publication no. 0369324 was cited to show an endoscopic surgical instrument having a pair of remotely controlled jaws. See FIG. 1.

USSR publication nos. 659146 and 728848 were cited to illustrate surgical stapling devices having a cartridge assembly and an anvil assembly.

USSR publication nos. 980703 and 990220 were cited to show endoscopic devices having an articulating remotely controlled tool member.

The citation of the listed items is not a representation that they constitute a complete or exhaustive listing of the relevant art or that the references are prior art.

The items listed are submitted in good faith, but are not intended to substitute for the Examiner's search. It is hoped, however, that in addition to apprising the Examiner of these particular items, they will assist in identifying fields of search and in making as full and complete a search as possible.

The filing of this information disclosure statement is not an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b). To the best of Applicant(s) knowledge, this information disclosure statement is being filed before the date of mailing of a first Office Action on the merits in connection with this case.

[X] Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. §1.16 and/or §1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account 21-0550. Also, in the event any extensions of time for responding and/or a petition under 37 C.F.R. §1.17(i)(i) are required for the pending application(s), please treat this paper as a petition to extend the time and/or enter this Information Disclosure Statement as required and charge Deposit Account No. 21-0550 therefor. TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

The claims of this application as now presented are believed to patentably distinguish over the prior art and to be in condition for allowance. Early and favorable consideration of this application is respectfully requested.

Respectfully submitted,

Christopher G. Trains

Christopher G. Trainor Reg. No. 39,517

Attorney for Applicants

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Substitute for form 1449A/PTO

Sheet 1

(Use as many sheets as necessary)

of 17

Compl	ete if Known	
Application Number	10/529,800	
Filing Date	March 30, 2005	
First Named Inventor	David C. Racenet	
Art Unit	Unassigned	
Examiner Name	Unassigned	_
Attorney Docket Number	2863US (203-3511PCTUS)	

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			U.S.PATENTI	DOCUMENTS	
Examiner	h. 1	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where	
nitials*	No. <sup>1</sup>	Number-Kind Code <sup>2 (d known</sup> )	MM-DD-YYYY	Applicant of Cited Documer	Relevant Passages or Relevant Figures Appear
		US-6,905,057	06/14/2005	Swayze et al.	
		US-6,889,116	05/03/2005	Jinno	
		US-6,879,880	04/12/2005	Nowlin et al.	
		US-6,877;647	04/12/2005	Green et al.	
		US-6,843,403	01/18/2005	Whitman	
		US-6,835,119	12/28/2004	Hori	
		US-6,830,174	12/14/2004	Hillstead et al.	
		US-6,808,262	10/26/2004	Chapoy et al.	
		US-6,786,382	09/07/2004	Hoffman	
		US-6,783,524	08/31/2004	Anderson et al.	
		US-6,755,338	06/29/2004	Hahnen et al.	
		US-6,731,473	05/04/2004	Li et al.	
		US-6,722,552	04/20/2004	Fenton, Jr.	
		US-6,716,232	04/06/2004	Vidal et al.	
		US-6,698,643	03/02/2004	Whitman	
		US-6,669,073	12/30/2003	Milliman et al.	
		US-6,656,193	12/02/2003	Grant et al.	
		US-6.644,532	11/11/2003	Green et al.	

	FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	T <sup>6</sup>			
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	IVIIVI-DD-1111	Applicant of Cited Document	or Relevant Figures Appear				
		France 2681775	10/1991						
	Ţ	France 2542188	9/1984						
	1	Germany 4213426	10/1992						
	Ī	Germany 4300307	7/1994						
	Ť	EPO 0369324	5/1990		•••••••••••••••••				

Examiner	Date	
Signature	Consider	red

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Substitute for form 1449A/PTO

(Use as many sheets as necessary)

Comp	lete if Known	
Application Number	10/529,800	
Filing Date	March 30, 2005	
First Named Inventor	David C. Racenet	
Art Unit	Unassigned	-
Examiner Name	Unassigned	
Attorney Docket Number	2863US (203-3511PCTUS)	

Sheet	2		of 17	Attorney Docket Number	2863US (203-3511PCTUS)
			U.S.PATENT	DOCUMENTS	
Examiner		Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
nitials*	No. <sup>1</sup>	Number-Kind Code <sup>2 (# known</sup> )	MM-DD-YYYY	Applicant of Cited Docume	ent Relevant Passages or Relevant Figures Appear
		US-6,619,529	09/16/2003	Green et al.	
		US-6,612,053	09/02/2003	Liao	
		US-6,602,252	08/05/2003	Mollenauer	
		US-6,594,552	07/15/2003	Nowlin et al.	
		US-6,592,597	07/15/2003	Grant et al.	
		US-6,587,750	07/01/2003	Gerbi et al.	
		US-6,565,554	05/20/2003	Niemeyer	
		US-6,554,844	04/29/2003	Lee et al.	
		US-6,544,274	04/08/2003	Danitz et al.	
		US-6,505,768	01/14/2003	Whitman	
		US-6,503,257	01/07/2003	Grant et al.	
		US-6,488,196	12/03/2002	Fenton, Jr.	
		US-6,463,623	10/15/2002	Ahn et al.	
	1	US-6,443,973	09/03/2002	Whitman	
		US-6,439,446	08/27/2002	Perry et al.	
		US-6,436,097	08/20/2002	Nardella	
		US-6,330,965	12/18/2001	Milliman et al.	
		US-6,325,810	12/04/2001	Hamilton et al.	

	FOREIGN PATENT DOCUMENTS							
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		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> ( <i>if known</i> )  USSR 659146	4/1979		or Relevant Figures Appear			
	<b></b>	USSR 728848	5/1977					
	•	Russian Federation 980703	12/1982					
]	<u> </u>	Russian Federation 990220	1/1983					
	Ī	EPO 0552423	7/1993					

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Examiner	Date	
Signature	Considered	1

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	Substitute for form 1449A/PTO			Complete if Known		
				Application Number	10/529,800	
INFORMATION DISCLOSURE				Filing Date	March 30, 2005	
	STATEMENT BY APPLICANT		First Named Inventor	David C. Racenet		
				Art Unit	Unassigned	
(Use as many sheets as necessary)		Examiner Name	Unassigned			
Sheet	3	of	17	Attorney Docket Number	2863US (203-3511PCTUS)	

	~		U.S.PATENTD	OCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (# known</sup> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-6,315,184	11/13/2001	Whitman	
		US-6,315,183	11/13/2001	Piraka	
		US-6,279,809	08/28/2001	Nicolo	
	1	US-6,269,977	08/07/2001	Moore	
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	1	US-6,250,532	06/26/2001	Green et al.	
	·	US-6,241,139	06/05/2001	Milliman et al.	
		US-6,202,914	03/20/2001	Geiste et al.	
	1	US-6,197,017	03/06/2001	Brock et al.	
		US-6,010,054	01/04/2000	Johnson et al.	
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		US-6,032,849	03/07/2000	Mastri et al.	
	1	US-6,004,335	12/21/1999	Vaitekunas et al.	
		US-5,980,510	11/09/1999	Tsonton et al.	-
	<u> </u>	US-5,954,259	09/21/1999	Viola et al.	
***************************************	1	US-5,922,001	07/13/1999	Yoon	

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		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> ( <i>if known</i> )		Applicant of Cited Document	or Relevant Figures Appear			
		EPO 0598579	5/1994					
	<b>†</b>	EPO 0621006	10/1994					
	<b>†</b>	United Kingdom 2048685	12/1980					
		United Kingdom 2165559	4/1986					
	<u> </u>	United Kingdom 1452185	10/1976					

Examiner Signature	Date Considered	

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INFORMATION DISCLOSURE				Filing Date	March 30, 2005
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				Art Unit	Unassigned
(Use as many sheets as necessary)			essary)	Examiner Name	Unassigned
Sheet	4	of	17	Attorney Docket Number	2863US (203-3511PCTUS)

			U.S.PATENTE	OCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (# known</sup> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-5,919,198	07/06/199	Graves, Jr. et al.	
		US-5,918,791	07/06/1999	Sorrentino et al.	
		US-5,911,353	06/15/1999	Bolanos et al.	
		US-5,901,895	05/11/1999	Heaton et al.	
		US-5,897,562	04/27/1999	Bolanos et al.	
		US-5,873,873	02/23/1999	Smith et al.	
		US-5,871,135	02/16/1999	Williamson IV et al.	
		US-5,865,361	02/02/1999	Milliman et al.	
		US-5,862,972	01/26/1999	Green et al.	
		US-5,836,147	11/17/1998	Schnipke	
		US-5,833,695	11/10/1998	Yoon	
***************************************		US-5,829,662	11/03/1998	Allen et al.	
		US-5,826,776	10/27/1998	Schulze et al.	
		US-5,823,066	10/20/1998	Huitema et al.	
		US-5,820,009	10/13/1998	Melling et al.	
		US-5,817,109	10/06/1998	McGarry et al.	
		US-5,816,471	10/06/1998	Plyley et al.	
		US-5,814,055	09/29/1998	Knodel et al.	

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		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	ו ז ז ז ז -טט-וווווו	Applicant of Cited Document	or Relevant Figures Appear		
		WIPO 9308754	5/1993			$\lceil \rceil$	
		WIPO 9314706	8/1993				
	1	EPO 0589306	3/1994				
	1	EPO 0136950	4/1985				
		EPO 0220029	4/1987				

Examiner Signature Date Considered	

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(Use as many sheets as necessary)

Sheet 5 of 17

Substitute for form 1449A/PTO

Complete if Known					
Application Number	10/529,800				
Filing Date	March 30, 2005				
First Named Inventor	David C. Racenet				
Art Unit	Unassigned				
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Examiner nitials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (# known</sup> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-5,810,855	09/22/1998	Rayburn et al.	
		US-5,810,811	09/22/1998	Yates et al.	
		US-5,797,538	08/25/1998	Heaton et al.	
**************************************		US-5,797,537	08/25/1998	Oberlin et al.	
		US-5,797,536	08/25/1998	Smith et al.	
		US-5,782,834	07/21/1998	Lucey et al.	
		US-5,782,397	07/21/1998	Koukline	
		US-5,782,396	07/21/1998	Mastri et al.	
		US-5,779,132	07/14/1998	Knodel et al.	
		US-5,779,131	07/14/1998	Knodel et al.	
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	1	US-5,769,303	06/23/1998	Knodel et al.	
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		US-5,752,644	05/19/1998	Bolanos et al.	
	1	US-5,749,893	05/12/1998	Vidal et al.	
		US-5,743,456	04/28/1998	Jones et al.	

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	<u> </u>	Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	IVIIVI-DD-1111	Applicant of Cited Document	or Relevant Figures Appear	-	
		EPO 0591946	4/1994				
	Ī	EPO 0592243	4/1994				
}	ļ	EPO 0621009	10/1994	•••••••••••••••••••••••••••••••••••••••			
	<b>†</b>	EPO 0656188	6/1995				
<u></u>	Ī	EPO 0213817	11/1987				

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Examiner Signature	Date Considered	

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<b>INFORMATION</b>	<b>DISCLOSURE</b>
STATEMENT R	Y APPLICANT

(Use as many sheets as necessary)

Sheet 6 of 17

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nitiais	No. <sup>1</sup>	Number-Kind Code <sup>2 (if known</sup> )	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
		US-5,735,848	04/07/1998	Yates et al.	
		US-5,728,110	03/17/1998	Vidal et al.	
		US-5,725,554	03/10/1998	Simon et al.	<u> </u>
		US-5,725,536	03/10/1998	Oberlin et al.	
,,, <u>,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,</u>		US-5,716,366	02/10/1998	Yates	
		US-5,713,505	02/03/1998	Huitema	
		US-5,711,472	01/27/1998	Bryan	
		US-5,709,334	01/20/1998	Sorrentino et al.	
		US-5,704,534	01/06/1998	Huitema et al.	
		US-5,697,542	12/16/1997	Knodel et al.	
		US-5,692,668	12/02/1997	Schulze et al.	
		US-5,690,269	11/25/1997	Bolanos et al.	
		US-5,680,982	10/28/1997	Schulze et al.	
		US-5,680,981	10/28/1997	Mililli et al.	
		US-5,676,674	10/14/1997	Bolanos et al.	
		US-5,673,842	10/07/1997	Bittner et al.	
		US-5,673,841	100/7/1997	Schulze et al.	
		US-5,673,840	100/7/1997	Schulze et al.	

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	<b> </b>	EPO 0273468	7/1988						
		EPO 0324638	7/1989						
		EPO 0373762	6/1990						
	1	EPO 0380025	8/1990						
	1	EPO 0449394	10/1991		••••••••••••••••••••••••••••••••				

Examiner	· · · · · · · · · · · · · · · · · · ·		Date	
Signature			Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 7 of 17

Substitute for form 1449A/PTO

Complete if Known					
Application Number	10/529,799				
Filing Date	March 30, 2005				
First Named Inventor	Olson et al.				
Art Unit	Unassigned				
Examiner Name	Unassigned				
Attorney Docket Number	2863US (203-3511PCTUS)				

U.S.PATENTDOCUMENTS						
Examiner Initials*	Cite No.1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant	
		Number-Kind Code <sup>2 (if known</sup> )			Figures Appear	
		US-5,669,544	09/23/1997	Schulze et al.		
		US-5,667,517	09/16/1997	Hooven		
		US-5,662,666	09/02/1997	Onuki et al.		
***************************************		US-5,662,662	09/02/1997	Bishop et al.		
		US-5,662,260	09/02/1997	Yoon		
		US-5,662,259	09/02/1997	Yoon		
		US-5,662,258	09/02/1997	Knodel et al.		
	1	US-5,658,300	08/19/1997	Bito et al.		
	1	US-5,657,921	08/19/1997	Young et al.		
	1	US-5,655,698	08/12/1997	Yoon		
		US-5,653,721	08/05/1997	Knodel et al.		
		US-5,653,374	08/05/1997	Young et al.		
	1	US-5,647,526	07/15/1997	Groen et al.		
***************************************	·	US-5,645,209	07/08/1997	Green et al.		
	İ	US-5,636,780	06/10/1997	Green et al.		
	1	US-5,634,584	06/03/1997	Okorocha et al.		
	1	US-5,632,432	05/27/1997	Schulze et al.		
	[	US-5,630,541	05/20/1997	Williamson, IV et al.		

	FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	T <sub>6</sub>			
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	IVIIVI-DD-1111	Applicant of Cited Document	or Relevant Figures Appear				
		EPO 0484677	5/1992						
	Ī	EPO 0489436	6/1992						
	Ī	EPO 0503662	9/1992						
		EPO 0514139	11/1992						
		EPO 0537572	4/1993						

Examiner Signature	Date Considered	

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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of 17

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Sheet 8

Complete if Known					
Application Number	10/529,800				
Filing Date	March 30, 2005				
First Named Inventor	David C. Racenet				
Art Unit	Unassigned				
Examiner Name	Unassigned				
Attorney Docket Number	2863US (203-3511PCTUS)				

		<del></del>	U.S.PATENTE	OCUMENTS	
Examiner nitials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (# known</sup> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-5,630,540	05/20/1997	Blewett	
		US-5,630,539	05/20/1997	Plyley et al.	
		US-5,628,446	05/13/1997	Geiste et al.	
		US-5,626,587	05/06/1997	Bishop et al.	
		US-5,624,452	04/29/1997	Yates	
		US-5,607,095	03/04/1997	Smith et al.	
		US-5,601,224	02/11/1997	Bishop et al.	
		US-5,597,107	01/28/1997	Knodel et al.	
		US-5,588,581	12/31/1996	Conlon et al.	
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		US-5,586,711	12/24/1996	Plyley et al.	
		US-5,584,425	12/17/1996	Savage et al.	
		US-5,577,654	11/26/1996	Bishop	
		US-5,575,799	11/19/1996	Bolanos et al.	
		US-5,573,543	11/12/1996	Akopov et al.	
		US-5,571,116	11/05/1996	Bolanos et al.	
		US-5,564,615	10/15/1996	Bishop et al.	
		US-5,562,682	10/08/1996	Oberlin et al.	

	FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages				
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MIM-DD-TTTT	Applicant of Cited Document	or Relevant Figures Appear				
		United Kingdom 2141066	12/1984	United Kingdom					
	<b></b>	WIPO WO9210976	7/1992	WIPO					
		WIPO WO8302247	7/1993	WIPO	••••••	Г			
	1	EPO 0324637	7/1989	EPO	•••••••••••••••••				
	Ť	EPO 0041022	12/1981	EPO	······				

Examiner	Date	
Signature	Considered	

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10/529,800

March 30, 2005

David C. Racenet

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Application Number

First Named Inventor

Filing Date

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

17

Sheet 9

Art Unit Unassigned **Examiner Name** Unassigned of Attorney Docket Number 2863US (203-3511PCTUS)

			U.S.PATENTO	OCUMENTS		
			Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
nitials*	No. <sup>1</sup>	Number-Kind Code <sup>2 (# known</sup> )	- MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	
		US-5,562,241	10/08/1996	Knodel et al.	-	
		US-5,562,239	10/08/1996	Boiarski et al.		
		US-5,560,532	10/01/1996	DeFonzo et al.		
		US-5,560,530	10/02/1996	Bolanos et al.		
		US-5,554,169	09/10/1996	Green et al.		
		US-5,554,164	09/10/1996	Wilson et al.		
······································		US-5,553,765	09/10/1996	Knodel et al.		
		US-5,551,622	09/03/1996	Yoon		
		US-5,540,375	07/30/1996	Bolanos et al.		
		US-5,535,937	07/16/1996	Boiarski et al.		
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		US-5,531,744	07/02/1996	Nardella et al.		
***************************************		US-5,529,235	06/25/1996	Boiarski et al.		
		US-5,518,164	05/21/1996	Hooven		
		US-5,518,163	05/21/1996	Hooven		
		US-5,507,426	04/26/1996	Young et al.		
		US-5,505,363	04/09/1996	Green et al.		

	FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>			
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	IVIIVI-UU-TTTT	Applicant of Cited Document					
		EPO 0324166	7/1989						
	1	EPO 0539762	5/1993						
	1	EPO 0545029	6/1993	•••••••••••••••••••••••••••••••••••••••	***************************************				
	1	EPO 0579038	1/1994			Γ			
	1	EPO 0598202	5/1994		••••••••••••••••••••••••••••••••				

Examiner Signature	Date Considered		

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<b>INFORMATION DISCLOSURE</b>	
<b>STATEMENT BY APPLICANT</b>	

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Sheet | 10 | of | 17

Substitute for form 1449A/PTO

Complete if Known				
Application Number	10/529,800	_		
Filing Date	March 30, 2005			
First Named Inventor	David C. Racenet	-		
Art Unit	Unassigned			
Examiner Name	Unassigned			
Attorney Docket Number	2863US (203-3511PCTUS)			

			U.S.PATENTD	OCUMENTS										
Examiner	Cite		1	1	1	1		1	1	1	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	No.1	Number-Kind Code <sup>2 (if known</sup> )	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear									
		US-5,497,933	03/12/1996	DeFonzo et al.										
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		US-5,487,499	01/30/1996	Sorrentino et al.										
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		US-5,485,947	01/23/1996	Olson et al.										
		US-5,484,451	01/16/1996	Akopov et al.										
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•••••••		US-5,480,089	01/02/1996	Blewett										
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		US-5,470,007	11/28/1995	Plyley et al.										
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		US-5,465,895	11/14/1995	Knodel et al.										

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Examiner Cite Initials* No.1		Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	T <sup>6</sup>		
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	IVIIVI-DD-1111	Applicant of Cited Document	or Relevant Figures Appear	}		
		EPO 0365153	8/1995					
	<u> </u>	United Kingdom 1555455	11/1979		•••••••••••••••••			
	<b>†</b>	United Kingdom 2070499	9/1981		•••••••••••••••••			
	ļ	United Kingdom 1352554	4/1971					
	1	EPO 0373762	6/1990		***************************************			

Examiner Signature	Date Considered	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Inter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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## INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

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Sheet | 11 | of | 17

Comp	Complete if Known				
Application Number	10/529,800				
Filing Date	March 30, 2005				
First Named Inventor	David C. Racenet				
Art Unit	Unassigned				
Examiner Name	Unassigned				
Attorney Docket Number	2863US (203-3511PCTUS)				

			U.S.PATENTE	OCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (# known</sup> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-5,464,300	11/07/1995	Crainich	
		US-5,456,401	10/10/1995	Green et al.	
		US-5,452,837	09/26/1995	Williamson, IV et al.	
		US-5,447,265	09/05/1995	Vidal et al.	
		US-5,433,721	07/18/1995	Hooven et al.	
		US-5,431,323	07/11/1995	Smith et al.	
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		US-5,423,471	06/13/1995	Mastri et al.	
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		US5;415,334	05/16/1995	Williamson, IV et al.	
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		US-5,397,324	03/14/1995	Carroll et al.	
		US-5,397,046	03/14/1995	Savage et al.	
		US-5,395,034	03/07/1995	Allen et al.	
		US-5,395,033	03/07/1995	Byrne et al.	

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Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages	T6	
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	IVIIVI-UU-TTTT	Applicant of Cited Document	or Relevant Figures Appear		
		EPO 0666057	08/1995				
		EPO 0593920	04/1994		***************************************		
		EPO 0705571	04/1996		***************************************		
	1	EPO 0140552	05/1985				
	Ţ	EPO 0324635	07/1989		***************************************	П	

Date Considered

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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17

Sheet

12

Complete if Known

Application Number 10/529,800

Filing Date March 30, 2005

First Named Inventor David C. Racenet

Art Unit Unassigned

Examiner Name Unassigned

Attorney Docket Number 2863US (203-3511PCTUS)

			U.S.PATENTO	OCUMENTS			
Examiner				Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
nitials*	No.1	Number-Kind Code <sup>2 (if known</sup> )	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear		
		US-5,383,880	01/24/1995	Hooven			
		US-5,382,255	01/17/1995	Castro et al.			
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		US-5,376,095	12/27/1994	Ortiz			
		US-5,364,003	11/15/1994	Williamson, IV			
		US-5,364,002	11/15/1994	Green et al.			
		US-5,364,001	11/15/1994	Green et al.			
		US-5,358,506	10/25/1994	Green et al.			
	1	US-5,336,232	08/09/1994	Green et al.			
		US-5,332,142	07/26/1994	Robinson et al.			
		US-5,328,077	07/12/1994	Lori			
		US-5,326,013	07/05/1994	Green et al.			
		US-5,318,221	06/07/1994	Green et al.			
		US-5,312,023	05/17/1994	Green et al.			
		US-5,307,976	05/03/1994	Olson et al.	**************************************		
		US-5,282,807	02/01/1994	Knoepfler			
		US-5,246,156	09/21/1993	Rothfuss et al.	***************************************		
		US-5,170,925	12/15/1992	Madden et al.			

	FOREIGN PATENT DOCUMENTS						
Examiner Cite Initials* No.1		Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	T⁵	
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	IVIIVI-DD-1111	Applicant of Cited Document	or Relevant Figures Appear		
		EPO 0399701	11/1990				
	1	EPO 0552050	7/1993				
		WO 89/10094	11/1989				
***************************************		EPO 0156774	10/1985				
	1	EPO 0216532	4/1987		***************************************		

Examiner Signature	Date Considered	

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet | 13 | of | 17

Substitute for form 1449A/PTO

Complete if Known			
Application Number	10/529,800		
Filing Date	March 30, 2005		
First Named Inventor	David C. Racenet		
Art Unit	Unassigned		
Examiner Name	Unassigned		
Attorney Docket Number	2863US (203-3511PCTUS)		

			U.S.PATENTO	OCUMENTS	
Examiner nitials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (# known</sup> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-5,141,144	08/25/1992	Foslien et al.	<del></del>
		US-5,129,570	07/14/1992	Schulze et al.	
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Examiner Initials*	* No.1 Foreign Patent Document Publication Date MM-DD-YYYY And	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages	T <sup>6</sup>			
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	IVIIVI-DU-TTTT	Applicant of Cited Document	or Relevant Figures Appear		
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	ļ	United Kingdom 1452185	10/1976		······································		

Examiner Signature	Date Considered	

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Substitute for form 1449A/PTO

Complete if Known					
Application Number	10/529,800				
Filing Date	March 30, 2005				
First Named Inventor	David C. Racenet				
Art Unit	Unassigned				
Examiner Name	Unassigned				
Attorney Docket Number	2863US (203-3511PCTUS)				

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Examiner	1	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	No. <sup>1</sup>	Number-Kind Code <sup>2 (# known</sup> )	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
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 Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>®</sup>	

Examiner Signature	Date Considered	
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Complete if Known			
Application Number	10/529,800		
Filing Date	March 30, 2005		
First Named Inventor	David C. Racenet		
Art Unit	Unassigned		
Examiner Name	Unassigned		
Attorney Docket Number	2863US (203-3511PCTUS)		

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Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>	
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Complete if Known			
Application Number	10/529,800		
Filing Date	March 30, 2005	_	
First Named Inventor	David C. Racenet		
Art Unit	Unassigned		
Examiner Name	Unassigned	•	
Attorney Docket Number	2863US (203-3511PCTUS)		

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xaminer nitials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (# known</sup> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т
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